



SECURE INNOVATION

INVESTORS SUMMARY



KNOW THE THREATS.

- **People** are a business' greatest asset but, in some cases, they can pose an insider risk
- Insecure **IT** can provide an easy way for your portfolio companies to be exploited
- Your portfolio companies' assets could be stolen via **physical** access
- State-backed actors can operate more easily **overseas** than in the UK
- **Investment** can be used to gain access to, and influence over, your portfolio companies
- **International expansion** exposes businesses to jurisdiction risk from local laws and business practices
- Vulnerable or malicious **suppliers** could compromise your returns

PRE-INVESTMENT

- Does the company have any high-risk investors?
- Could the involvement of other investors inhibit future fundraising or sale of the company because of legal, ethical, or compliance issues? Particularly in relation to sanctions, the National Security and Investment Act or export control.



SECURE ENVIRONMENT.

- Is security owned and discussed at board level?
- Has the company identified its most valuable assets?
- Is security included in the company's risk register?
- Are security measures centred around the company's critical assets?

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SECURE PRODUCTS.

- Have they built security into their product from the beginning?
- Do they have a strategy to identify and manage their IP?



SECURE PARTNERSHIPS.

- Does the company conduct due diligence on all prospective partners – investors, suppliers and collaborators?
- Has the company limited the data, information, and knowledge it shares to only what is necessary and within its risk tolerance?



SECURE GROWTH.

Expand safely into new markets

- Has the company put in place proportionate and effective security procedures for any international travel?
- Is the company compliant with UK export legislation and any other international export legislation which may apply?
- Are you and the company aware of local laws in countries into which they are expanding, and how they could affect their business?

Security for a growing team

- Has the company put in place pre-employment screening processes for all recruits?
- Does the company provide security training for all staff, including at the point of induction?

Prepare for security incidents

- Has the company established and tested an incident management plan?
- Does the company detect and investigate unexpected behaviour in IT and staff?

